A Comparison of Results from a Spanish and English Mail Survey: Effects of Instruction Placement on Item Missingness

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Abstract

Few research studies compare results from self-administered bilingual paper questionnaires on how the positioning of skip instructions may affect the respondent's ability to follow skip patterns. Using data from the 2004 and 2005 of the Phase 5 Pregnancy Risk Assessment Monitoring System (PRAMS) mail survey questionnaire, this paper attempts to fill this gap. We examined whether the positioning of skip instructions can produce differences in item nonresponse rates in subsequent items and how results compare between English and Spanish language questionnaires. These results will be of interest to designers of bilingual self-administered survey questionnaires in guiding respondents through the intended navigational path with skip patterns.

Key Words: Item missingness, mail survey, Spanish, PRAMS

1. Introduction

One challenge that questionnaire designers face is how to make sure respondents answer questions they are supposed to answer and avoid answering questions they are not supposed to answer. Failure to answer questions (errors of omission) leads to missing data or item nonresponse. It is well known that item nonresponse rates are higher on surveys with skip patterns than surveys without skip patterns (Turner, Lessler, George, Hubbard & Witt, 1992; Featherston & Moy, 1990). Errors of commission on the other hand, refer to errors in which the respondent provides a response for a question they should have skipped, according to the questionnaire design. While such erroneously provided responses can be deleted after data collection, respondents have taken the time to answer these questions. The respondent may have exerted considerable cognitive effort to answer questions that were difficult to answer because they were not meant to be answered. Thus, errors of commission may increase the respondent's perception of burden and in turn increase the likelihood of terminating the interview before completion in an interviewer administered setting or failing to complete and return a self-administered questionnaire.

For surveys with skip patterns, computer assisted interviewing (CAI) methods and welltrained interviewers can direct respondents through the correct navigational path of a questionnaire. In contrast, designers of mail questionnaires (and other self-administered paper questionnaires) face a challenge in how to guide respondents through the questionnaire using only visual means on paper. Some research has been carried out on how question attributes such as positioning of a question on a page may affect skip pattern compliance (e.g. Dillman, Redline & Carley-Baxter, 1999). However, very little, if any, research has been carried out on how the positioning of skip instructions may affect the respondent's ability to follow skip patterns in self-administered paper surveys. In this paper, we use data from the first two years (2004-2005) of the Phase 5 Pregnancy Risk Assessment Monitoring System (PRAMS) survey to examine whether the positioning of question skip instructions can produce differences in item nonresponse rates in subsequent items. For 2004 and 2005, the PRAMS survey was administered in 30 vital records registry areas, consisting of 29 states and New York City.¹ Each registry area had its own survey instrument in both mail and phone administration modes. Our research focuses on the mail questionnaire.

While some survey items were common in all of the registry areas, each area could select its own questions of interest. This allowed for differences in the presentation of skip pattern instructions for similar items across the surveys. Our goal is to see if differences in how skip patterns were displayed were associated with differences in item nonresponse in subsequent questions.

2. Background

In an experiment involving university students, Dillman et al. (1999) examined the effects of eight question attributes on errors of omission (the respondent fails to answer items they are supposed to) and errors of commission (the respondent fails to skip items they are supposed to). They found that placement of a question at the bottom of a page was associated with an increase in item nonresponse for the subsequent item. Placement at the bottom of a page was also associated with an increase in errors of commission for the next item. Dillman et al. (1999) reasoned that questions at the bottom of a page interrupt the respondent's attention to the questionnaire and such an interruption increases the likelihood that the respondent will make an error in following any skip instructions.

While Dillman et al. (1999) examined how question positioning (as well as other question attributes) can affect item nonresponse in subsequent items, a related issue is whether the positioning of skip instructions can affect item nonresponse. Considerable research has been carried out on using symbols and simple instructions to indicate straightforward skip patterns in self-administered paper questionnaire forms. For example, Redline, Dillman, Dajani and Scaggs (2003) report on an experiment conducted during the 2000 Decennial Census that tested different methods of displaying branching instructions.

However, in some cases, a separate branching instruction is required when the skip pattern is more complicated. For example, suppose the first item in a series consists of multiple items that are to be answered with a "yes/no" format and the desired skip pattern after these items is that if any of these items are answered with a "yes", the respondent should go to the next question. If all of the items in the series are answered with "no", the next question should be skipped. In this case, it is not possible to provide a visual guide for determining the next question. Instead, the survey designer must introduce a skip pattern instruction using text to indicate the next question.

As previously noted, the placement of a question at the bottom of a page can interrupt respondent attention and lead to errors in following a skip pattern. We hypothesize that

¹ For Louisiana and Mississippi, the PRAMS was only conducted in 2004.

differences in the placement of skip instructions can also affect the respondent's ability to follow the navigational path of a questionnaire.

3. Data and Methods

Our analysis utilized PRAMS data for 2004 and 2005 for all states (registry areas) from mail questionnaires. Sponsored by the Centers for Disease Control and Prevention (CDC) and state health departments, PRAMS is an on-going surveillance project of women who have recently given birth. The purpose of the project is to improve the health and well-being of mothers and infants by collecting information about maternal experiences before, during and after pregnancy. The PRAMS sample consists of stratified, systematic samples of 100 to 250 new mothers each month from each participating state's frame of birth certificates, yielding state level sample sizes of about 1,000 to 3,400 in each year. The survey relies upon data collection through mail and telephone. In the mail phase, sampled mothers are contacted through varied follow-up attempts. After the last follow-up attempt by mail, those who have not responded to the mail questionnaire are followed up using computer assisted telephone interviewing (CATI). For this analysis, we only use cases from those completing the mail survey.

For this analysis, we take advantage of variation in how each state displayed the skip instruction between two items found on all the surveys. Core question Q22 of the survey consisted of a series of 12 "yes-no" items on problems experienced during the most recent pregnancy. If any of these questions were answered with a "yes", the respondent was supposed to answer core question Q23, which is a series of four "yes/no" items on trips to the hospital or emergency room, hospital stays or bed rest due to the reported problems in Q22. If all of the items in Q22 were answered "no", the respondent was instructed to skip past item Q23. An example of how Q22 and Q23 were displayed for Mississippi in the 2004 and 2005 PRAMS is shown in **Figure 1**. In the Mississippi questionnaire, item 23 is core question Q22 and item 24 is core question Q23. For the 2004 and 2005 PRAMS, there were five different placements for the skip instruction between questions Q22 and Q23.

- 1. Instruction appears below Q22; Q23 appears on the same page
- 2. Instruction appears below Q22; Q23 appears on the next page, facing
- 3. Instruction appears below Q22; Q23 appears on the next page, not facing
- 4. Instruction appears at the top of the next column; Q23 appears on the same page
- 5. Instruction appears on the next page

We have ordered these types by the perceived level of interruption that the placement of the skip instruction is expected to have on respondents skipping all of the Q23 items. When the instruction does not appear immediately after Q22 (e.g. in the next column, on the next page or a page must be turned to get to the next question) this represents a break in the path the respondent must follow which may increase the likelihood that the instruction is missed. In addition, even if the instruction is seen, it may not be interpreted correctly if the respondent did not realize that "these problems" referred to the items in Q22.

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23. Did you have any of these problems	24. Did you do any of the following things
during your most recent pregnancy? For	because of these problems? For each
each item, circle Y (Yes) if you had the	item, circle Y (Yes) if you did that thing
problem or circle N (No) if you did not.	or circle N (No) if you did not.
No Yes a. High blood sugar (diabetes) that started before this pregnancy N Y b. High blood sugar (diabetes) that started during this pregnancy N Y c. Vaginal bleeding N Y d. Kidney or bladder (urinary tract) infection N Y e. Severe nausea, vomiting, or dehydration N Y f. Cervix had to be sewn shut (incompetent cervix) N Y g. High blood pressure, hypertension (including pregnancy-induced hypertension [PIH]), preeclampsia, or toxemia N Y h. Problems with the placenta (such as abruptio placentae or placenta previa) N Y i. Labor pairs more than 3 weeks before my baby was due (preterm or early labor) N Y j. Water broke more than 3 weeks before my baby was due (premature rupture of membranes [PROM]) N Y k. I had to have a blood transfusion N Y I. I was hurt in a car accident N Y If you did not have any of these problems, go to Question 25. Y	No Yes a. I went to the hospital or emergency room and stayed less than 1 dayN Y b. I went to the hospital and stayed 1 to 7 daysN Y c. I went to the hospital and stayed more than 7 daysN Y c. I went to the hospital and stayed more than 7 daysN Y d. I stayed in bed at home more than 2 days because of my doctor's or nurse's adviceN Y The next questions are about smoking cigarettes and drinking alcohol. Y 25. Have you smoked at least 100 cigarettes in the past 2 years? (A pack has 20 cigarettes.) Go to Page 6, Question 29 Yes Go to Page 6, Question 29 Yes Yes 26. In the 3 months before you got pregnant, how many cigarettes did you smoke on an average day? (A pack has 20 cigarettes.) 41 cigarettes or more 21 to 40 cigarettes 11 to 20 cigarettes 1 to 5 cigarettes 2 to 40 cigarettes Less than 1 cigarette None (0 cigarettes) None (0 cigarettes)

Figure 1: Example of Type I Skip Pattern Instruction for Core Items 22 and 23, 2004 PRAMS – Mississippi Survey

We did not use cases from Maryland and Arkansas for 2004 because of issues with these data that may have produced misleading results for the analyses in this paper.² The data issues for these states in 2004 did not occur in 2005, so cases from 2005 are included in the analysis.

Our main outcome measure of interest is whether or not the respondent left <u>all</u> four items in Q23 blank. Because there was no explicit option for "don't know" or "refuse" on the mail questionnaires, we cannot know for sure if items are being left blank due to having made a mistake in following the skip instruction or because the respondent would have chosen "don't know" or "refuse" if these had been offered as response options.

² For Maryland, the skip instruction placement for item Q23 differed between the English (Type 1) and Spanish (Type 2) questionnaires. The variable indicating whether data was collected in the English or Spanish mail questionnaire was missing for over half of the cases. For Arkansas, the 2004 data did not show any respondents who skipped Q23 when they should not have. In fact, all respondents in Arkansas who were to have answered Q23 gave complete responses to all four items in Q23.

All analyses were conducted using SUDAAN version 10.0 (RTI International, 2008). Standard errors were computed based on the Taylor series approximation method accounting for the features of the PRAMS sample design. The weights used in the analysis reflect different probabilities of selection, and do not include adjustments for nonresponse and poststratification.³

4. Results

4.1 English Language Forms

Table 1 presents the percentages of respondents (among those eligible for the item Q23) who failed to answer all of the items in Q23 by each type of skip instruction position. The clearest pattern that emerges is that when the skip instruction does not appear below Q22 but instead in the next column (Type 4 - 10.2 percent) or next page (Type 5 - 10.8 percent), respondents are more likely to skip Q23 than when the instruction is placed immediately below item Q22. We speculate that respondents may have seen the instruction but not understood the reference to "these problems" in the skip instruction. If the instruction had been missed altogether, the respondent may have gone to the correct question but skipped over the question since it asks "Did you do any of the following because of these problems?". In either case, referring to "these problems" in the skip instruction or the question itself may have been sufficiently ambiguous to cause respondents to skip Q23.

Table 1: Percent Leaving All Q23 Items Blank (Errors of Omission) by Skip Instruction	l
Position – English, 2004 and 2005 PRAMS Mail Respondents, 30 Registry Areas	

Instruction Position	States	Percent All Q23 Blank	Standard Error
Type 1 – Instruction at	AK, MD, ME, MS,	7.1	0.4
bottom; Q23 on same page	NC, NY, SC, WV		
Type 2 – Instruction on	FL, GA, RI	4.1	0.5
bottom; Q23 on next page			
(facing)			
Type 3 – Instruction at	AL, AR, HI, NJ,	8.0	0.4
bottom; Q23 on next page	NYC, OH, OR, TX,		
(not facing)	WA		
Type 4 – Instruction in next	IL, LA, NE, OK, VT	10.2	0.6
column; Q23 on same page			
Type 5 – Instruction on	CO, MI, MN, NM,	10.8	0.5
different page	UT		

All pairwise differences in the percentages of respondents leaving all items in Q23 blank are statistically significant at the .005 level with two exceptions.⁴ Differences in the percent leaving all items blank in Q23 between Type 1 and Type 3 and between Type 4 and Type 5 are not statistically significant. Among the three instruction placement types where the instruction was below Q22 (Types 1, 2 and 3), we expected rates of missing item Q23 to vary positively with "distance" between items Q22 and Q23. That is, we expected Type 1 to have the lowest rate of missingness and Type 3 to have the highest

³ Results using 1) weights adjusted for nonresponse and 2) weights adjusted for nonresponse and undercoverage are similar to the ones presented in this paper.

⁴ The .005 level of significance is based on a Bonferroni adjustment for multiple comparisons. The adjusted critical significance level is .05/n where n is the number of pairwise comparisons.

rate of missingness with Type 2 somewhere in the middle. Instead, we find that Type 2 has the lowest rate in which all Q23 items are skipped, although this may be an artifact of only having a small number of states in this category.

Figure 2 displays the percentages of respondents who left all items in Q23 blank by state with the states grouped by placement type. The percentage of eligible respondents who leave all of the items in Q23 unanswered is used as an imperfect indicator of whether the skip instruction was followed since a respondent could have followed the instruction and failed to provide a response for some other reason, such as answering "don't know" or refusing to answer the items in Q23.

Earlier, we noted that the placement of the instruction on the next page was associated with the highest rate of omission errors (Type 5 placement group). For four out of the five states in this group, Q22 appears on an even numbered page so the skip instruction appears on the facing (odd numbered page). For the fifth, Minnesota, Q22 appears on an odd numbered page so the skip instruction is not visible until the respondent turns the page over. Perhaps not coincidentally, Minnesota has the highest rate of respondents failing to answer all of the items in Q23 (12.3 percent).

Since the placement of skip instructions were not randomly assigned for individual respondents or at the state level, differences in the characteristics of individual respondents or in characteristics at the state level may be confounding the relationships between skip instruction placement and errors of omission for item Q23. In order to address this potential confounding, we carried out a logistic regression analysis (among cases that were supposed to have answered Q23) in which whether or not all of the Q23 items were skipped was regressed on four dummy variables representing the five types of skip instruction. We included as covariates variables for the respondent's level of education (0-8 years, 9-11 years, 12 years, 13-15 years, 16+ years), race (White, Black, Asian, Other), age (less than 20 years old, 20 to 29, 30 to 39, 40 and older), and year of the survey (to control for possible changes over time).⁵ Aside from these demographic variables at the person level, we considered one other variable that might differ by state that could confound the relationship between skip instruction type and item nonresponse, the respondent's propensity to have completed the survey, as approximated by the nonresponse weighting adjustment factor. Results from the regression are shown in Table 2. We provide predictive margins for each value of each predictor in order to facilitate interpretation of the effects of each variable. The predictive margin for a given level of a predictor is the average predicted response in the dependent variable if all sample cases value predictor (Graubard have that of the & Korn. 1999).

⁵ At the time these analyses were carried out, three states/registry areas, Alabama, Maryland and New York City, had not granted approval to use data from the birth certificate files so these cases were removed from our multivariate analyses.

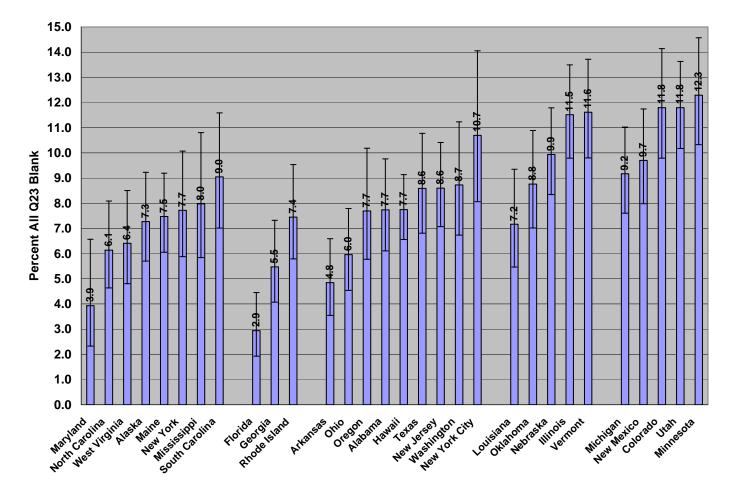


Figure 2: Weighted Percentage Distribution of Item Nonresponse on Q23 by State, 2004 and 2005 PRAMS Mail Respondents (who gave at least one answer of "yes" to Q22), 30 Registry Areas (Error Bars indicate 95 percent confidence intervals)

Comparing the effects and predictive margins in Table 2 for each of the instruction placement types with the unadjusted percentages in Table 1, we see that the Type 4 and Type 5 instructions continue to have the highest rates of nonresponse on all the Q23 items. In addition, for reasons not clear to us, the Type 2 instruction (appears below Q22 and Q23 is on the next facing page) continues to show the lowest rate of nonresponse for all Q23 items (predictive margin = 4.3 percent).

Table 2: Logistic Regression of Missing All Q23 Items, 2004 and 2005 PRAMS, English Language,				
Mail Respondents, 27 Registry Areas				

		Standard			Standard Error of
Variable	Coefficient	Error of Coefficient	P - value	Predictive Margin	Predictive Margin
	-2.998	0.243	< 0.001	0.080	0.002
Intercept	-2.998	0.245	< 0.001	0.080	0.002
Instruction Placement Type	0.000	0.000		0.074	0.005
Type 1	0.000	0.000	-	0.074	0.005
Type 2	-0.569	0.147	< 0.001	0.043	0.005
Type 3	0.016	0.099	0.872	0.075	0.005
Type 4	0.377	0.096	< 0.001	0.104	0.006
Type 5	0.422	0.088	< 0.001	0.108	0.005
Education					
0 to 8 years	0.587	0.250	0.019	0.131	0.027
9 to 11 years	-0.016	0.132	0.904	0.076	0.007
12 years	-0.016	0.098	0.874	0.076	0.005
13 to 15 years	0.098	0.089	0.271	0.085	0.005
16 or more years	0.000	0.000	-	0.078	0.005
Race					
White	0.000	0.000	-	0.079	0.003
Black	-0.093	0.107	0.385	0.073	0.006
Asian	0.353	0.146	0.016	0.109	0.013
Other	0.177	0.186	0.342	0.093	0.015
Age					
Under 20	0.000	0.000	-	0.072	0.008
20 to 29	0.055	0.126	0.660	0.076	0.003
30 to 39	0.196	0.136	0.152	0.086	0.004
40 and over	0.437	0.209	0.037	0.107	0.016
Year					
2004	0.000	0.000	-	0.075	0.003
2005	0.127	0.065	0.050	0.085	0.004

In examining the effects of the other variables in the model on nonresponse to all items in Q23, we find some results that are intuitive and others we cannot readily explain. Stern, Dillman and Smyth (2007: 122) note that visual design theory "...is virtually devoid of any reference to respondent characteristics." Respondents with the fewest years of education (0 to 8 years; predictive margin = 13.1 percent) are more likely to have skipped item Q23 than those with 16 or more years of schooling (the difference in the predictive margins between those with 0 to 8 years and those with 13 to 15 years is not statistically significant). Respondents with more years of schooling may be more likely to successfully follow skip patterns or carry out the cognitive work needed to discern the intended navigational path than those with less education but this only appears to be the case between the most extreme differences in education.

Respondents over the age of 40 are more likely to have skipped item Q23 than those in the youngest age group. The difference in the predictive margin between those in the 20

to 29 year old group and those 40 and older is not quite statistically significant (contrast = 3.10 percent, p = .0569). Research on the question of whether question design features including order effects (Knauper 1999) or other elements of visual design (Stern et al., 2007) provide some evidence that differences in design features have larger effects among older respondents than younger ones. However, these finding have been based on larger differences in age groups that than the ones observed in this analysis (e.g. comparing those 60 and older with those under 60). Finally, Asian respondents appear to have skipped answering item Q23 more often than White or Black respondents.⁶

4.2 Spanish Language Forms

Table 3 presents the percentages of respondents leaving all Q23 items blank by language of interview and Hispanic origin. Among respondents completing the questionnaire in Spanish, 26.1 percent left all of the Q23 items blank. This is considerably higher than the rate of missingness on Q23 for Non-Hispanics (7.9 percent) and Hispanics who completed the English language instrument (9.1 percent). The difference in the percentage leaving Q23 blank between Hispanics using the English language form and Non-Hispanics using the English language form is not statistically significant (contrast = 1.4 percent, p = 0.136). Almost 60 percent of those completing the interview in Spanish have less than 12 years of education as compared to 29.2 percent of Hispanics who completed the English language form, suggesting that some of the difference in item missingness on Q23 is due to lower levels of education among Hispanic respondents who answered using the Spanish form rather than the English form.

Table 3: Percent Leaving All Q23 Items Blank by Hispanic Origin and Language, 2004and 2005 PRAMS Mail Respondents, 27 Registry Areas⁷

Hispanic Origin – Language	Sample size	Percent All Q23 Blank	Standard Error
Hispanic – Spanish Language	2,799	25.9	1.6
Hispanic – English Language	3,259	9.3	0.9
Non-Hispanic – English	37,000	7.9	0.2
Language			

Table 4 shows the percentages of respondents among those using the Spanish language form who did provide responses to any of the items in Q23 (who were eligible for answering Q23). These results should be viewed cautiously due to both the small numbers of cases overall and the small numbers of states in some of the groups. Only the difference in the percentages leaving all Q23 items blank between the Type 1 and Type 3 instructions is close to being statistically significant (contrast = 4.9, p = .057). Curiously, this is in the opposite direction from our prediction as well as the results from the analyses using the English language form.

The findings that 1) item nonresponse to Q23 is higher in the Spanish language version than in the English language version and 2) that the type of skip instruction placement

⁶ We also estimated a model which did not include state regressors. Overall, regression coefficients and significance test results were similar to those shown in the paper, with one notable exception. In the model that omits state regressors, there is no difference between the regression coefficients and predictive margins between Types 4 and 5.

⁷ For mail respondents who are eligible to answer Q23, regardless of skip instruction position (2004 data for AR excluded as were all data for MD, AL and New York City, see the Data and Methods section).

has more of an effect on item nonresponse in the English version than in the Spanish version, are broadly consistent with the results from a PRAMS field test in December 2007.⁸ The respondents who completed a Spanish questionnaire tended to leave an item blank rather than marking the "no" response choice, resulting in data missingness. In terms of following skip instructions, with the exception of two respondents who completed more than 12 years of schooling, almost every respondent answered the questionnaire as if there were no skip instructions at all. Those respondents explained that they did not know what skip instructions intended in general, possibly because of a lack of experience with self-administered questionnaires.

Table 4 : Percent Leaving All Q23 Items Blank by Skip Instruction Position – Spanish,
2004 and 2005 PRAMS Mail Respondents, 22 Registry Areas

Instruction Position	States	Percent All Q23 Blank	Standard Error
Type 1 – Instruction at	AL, CO, FL, GA, IL,	26.0	1.9
bottom; Q23 on same page	NE, OR, WA, NYC		
Type 2– Instruction on	MD, MN, TX	27.9	3.5
bottom; Q23 on next page			
(facing)			
Type 3– Instruction at	ME, NC, NJ, NM,	21.1	1.7
bottom; Q23 on next page	NY, OK, RI, SC, UT		
(not facing)			
Type 4– Instruction in next	AR	23.4	6.4
0.02 on some near			

column; Q23 on same page

5. Discussion

In order to maximize respondent participation, questionnaire designers for mail surveys may wish to reduce the respondents' perceptions of burden by limiting the number of pages in the questionnaire. In order to keep the number of pages to a minimum, questions and instructions may be positioned to be as close to each other as possible. However, placing questions and skip pattern instructions in this way may mislead respondents about the intended navigational path. In our analysis, we find that differencess between states in the placement of skip instructions were associated with respondents failing to provide answers to a multi-item subsequent question. Furthermore, such errors of omission generally varied with the severity of the interruptions in ways consistent with the notion that interruptions in the respondent's cognitive processing of visual elements can affect item nonresponse.

There are several limitations for findings that emerge from this study. First, the analysis is restricted to those who responded to the PRAMS by mail. The telephone sample cannot be used as a basis for comparison due to the self-selecting nature of response by mail or telephone. Thus, the results from this analysis may not hold for telephone respondents if they were not offered a choice to respond by telephone and could only respond by mail.

Second, as we noted earlier, the analysis cannot distinguish between different types of item nonresponse. Because the PRAMS data are from a mail questionnaire, we cannot

⁸ The field test was conducted in both English and Spanish and included ten mothers who completed a Spanish questionnaire, which was a partial PRAMS survey and in several versions (RTI International 2008).

tell the difference between a respondent who follows the skip pattern correctly but refuses to answer an item (or series of items) and a respondent who has not followed the skip pattern correctly (unless an explicit don't know or refuse option is offered). We note that almost 6 percent of respondents who should have answered Q23 gave responses to at least one of the items in Q23. Additionally, there is higher data missingness in the data collected through the Spanish questionnaires as compared to the English questionnaires and the response behaviors seemed to have been divided by levels of educational attainment. Familiarity with the conventions governing questionnaire design and completion may also be a factor; however, we can only offer speculations here.

Finally, our findings are limited to errors of omission because the data on errors of commission need to be compiled separately from files of marginal comments. Due to the volume and format of the qualitative marginal comments, detailed analysis was beyond the scope of the study. We are not able to analyze the effects of instruction placement on errors of commission because the data entry software was programmed to blank out responses to questions that should not have been answered. Recommendations about steps taken to reduce errors of omission need to take into account that these steps may also reduce errors of commission but they may increase such as errors as well.

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