RESPONSE TRENDS IN EUROPE (*) W.F. de Heer, A.Z. Israëls

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1. Introduction to the Nonresponse problem

Nonresponse is a severe problem in social statistics for many statistical offices. It makes potential and not measurable bias. It is widely heard that nonresponse rates are increasing. People are said to become more reluctant to cooperate and be more often not at home.

Although there is a lot of literature about the of response trends in different countries.

In section 2 it will be explained which definitions can be used for response and nonresponse. A number of factors can influence the response results. In section 3 these factors will be described. Response trends for surveys in nine European organizations statistical countries will be described in section 4 and after some conclusions with respect to these trends the need of a systematic way of data-gathering will be argued in section 5.

2. Definition of Response/Nonresponse

As formulated by Groves (1989, p. 133), nonresponse is "the failure to obtain complete measurement 3 Factors influencing Response/Nonresponse on the survey sample". Using this as a definition, nonresponse can be divided into unit and item nonresponse, dependent on whether a complete record of the unit is missing or only some item(s). Also when a major part of the record is missing or when the quality of the information appears to be insufficient, one may turn such a unit into nonresponse.

Response rates have the form r/(r+nr), with rthe number of unit respondents and nr the number of nonrespondents. However, as a general formula it is an over-simplification. For sample units not visited, it is often unknown whether they are eligible (belonging to the population of interest) or overcoverage. As a consequence, the denominator may be unknown and has then to be estimated somehow. The situation is even more complex if a response rate is required at a level climate are not included in this paper. different from the sample unit. Formulae for response rates can be extended to include 3.1 Design factors differential levels and parameters to be estimated Design factors can be divided into sample design (De Heer et al., 1990). Besides, response rates factors and survey design factors.

should actually take account of possibly different probabilities of inclusion of the sample or observational units, using appropriate weights. Unit nonresponse, to which we will restrict oursurvey estimates questionable, because of a selves in this paper, can be partitioned into several components. Lievesley (1990), instance, gives a classification into fieldwork shortfalls, sample members non-contacted, sample members unwilling to participate (refusal) and sample members unable to participate. Each class nonresponse problem and nonresponse causes, it can be partitioned into subclasses, in order to seems to be very difficult to find a clear picture have a finer classification of possible reasons for nonresponse. Although a strict distinction between the reasons is not always possible to give, one should explain which components are included for each nonresponse figure published. The figures presented in this paper are crude, un-

weighted and, in view of the different factors influencing the response rate as described in section 3 of this paper, not very detailed. The figures only represent the reponse at the sample unit level. Sample units (addresses, households) not contacted are counted as nonresponse, although it is not known if all non-contacted sample units belong to the target population (e.g. in case of overcoverage).

Response rates presented in the international literature are mostly not so well described as to make it possible to compare these figures with others. For the comparison of response results it is necessary to have well-defined figures on nonresponse and the specific reasons why people do not respond. In order to be able to interpret differences in response rates it is also necessary to have the access to various aspects of the survey that might have influenced the response rate. Two kinds of response affecting factors will be described in this section: design factors and factors related to the interview organization and interviewers. Factors concerning the people, the (potential) respondents themselves and factors with respect to social, economical and cultural

Target population

The definition of the target population can be broad or specific. Some subgroups might be excluded. The target population might have a special relation to the subject of the survey.

Selection of the sample or observational unit

The rules for selection of the observational or sample unit might influence response rates. It might be a quite different situation if all members of a household have to be interviewed or if only one or two members should take part and proxies are allowed.

Subject matter

The subject matter of the survey can affect the response rate, sometimes in combination with a more specific definition of the target population. The more people are interested in the subject the more they will participate.

Survey method

It may be be more difficult to get a good response result for a panel survey than for a cross sectional survey, because participation in a panel survey can result in a higher respondent burden.

Techniques of data collection

Telephone or mail surveys might be less threatening as face-to-face surveys, because people are It will be clear that an experienced interviewer not asked to admit interviewers into their homes. will have better response results than unexperien-A telephone survey might have a better response ced ones, because they know better how to act in rate than a mail survey, because respondents are different situations. personally approached by the interviewer.

Data collection method

The choice of the data collection techniques is often related to the data collection method. The design of the questionnaire, its length and the number of documents needed determine more or less which techniques can be used. These have important implications on the respondent burden.

Data collection period

The choice and the length of the period within which the interviewers have to do their work has its impact on the contactability of potential respondents and the workload of the interviewers.

3.2 Factors related to the Organization and the is hardly any time left. Interviewers

Characteristics of the interviewers and interviewer staff

interviewers and Characteristics of the have some effect on carry out an interview. interviewer staff can

response results. It is said for example that middle-aged women are more successful than men and younger women in gaining survey participation.

Selection and Training of Interviewers

If it is possible to recruit the best interviewers on the basis of certain criteria the response result will gain from this. Also the number of training hours and the way interviewers have been trained can affect the response result.

Local or regional support

Local or regional fieldwork managers to support interviewers can also be very stimulating, because they can help the interviewers in difficult situations as they are also acquainted with the very specific local or regional situation as a result of their own experience.

Quality controls, feed back, evaluations

In general, interviewers want to know how their work and their results are evaluated by the interview organization. They want to know how their work can be improved and they are open to advice. If interviewers never hear how their work is judged they will be more easily satisfied with the results they have got.

Experience

Interviewer turnover rate

In connection with this aspect of experience, the interviewer turnover rate (fraction of interviewers that have been replaced by new ones) can give some indication of the experience of a interview organization. It can also give some indication whether or not the interviewers are happy to work for this organization or of their performance.

Workload

If the workload is too heavy this might affect the performance of the interviewers. The response rate and the nonresponse bias can be affected negatively if interviewers leave difficult or far away addresses or neighbourhoods for last so that there

Interview time

the Related with the workload indicated by the number of adresses or sample units that have to be the visited is the (average) time that is needed to

Payment rates

stimulate interviewers to get the best results. portant how people conceive this. Not only the rates that are paid to the interviewers but also the rates system an 4 Response trends in European Countries interview organization uses can affect the response rate.

Contracts

Also the way interviewers have been contracted can Belgium has two major social surveys: influence the performance of the interview organization. Higher demands can be made upon - Labour Force Survey (tabel 2). fully employed interviewers than upon free-lance interviewers.

Incentives

Response results can also depend on the awards an interview organization is willing to give to the respondents. Using incentives, like presents, is a found. The very low response rate of the FES in very obvious example in this field.

Advance letters

interviewers to convince people to participate. Advance letters sent on behalf of a governmental survey is really an important matter.

Number of contacts

period mentioned above is the number of contacts the support for the household apparently did not an interviewer is able to carry out trying to affect the drop-out rate, which was about 33% for approach potential respondents.

Re-issuance

respondents.

Public relations activities

participate. If the public in general knows about contacts. the official task of the interview organization this can make it easier for interviewers to 4.2 Finland convince people to participate.

Mandatory - voluntary surveys

whether or not participation is mandatory or A heavy workload and bad payment will not voluntary, but sometimes it seems to be more im-

4.1 Belgium

The National Institute for Statistics (NIS) in

- Family Expenditure Survey and (tabel 1)

The Family Expenditure Survey (FES) is carried out with an interval of several years. The response at the start of the survey was very low: 19% in 1973 and 17% in 1987. In 1978 the initial response doubled. An explanation for this could not be Belgium might have been caused by the very high respondent burden. Every household participates has to report all expenses and income The use of advance letters may help the every month, during a whole year, in a diary. The incentive for the respondent does not seem to balance the respondent burden. The fieldwork was agency can give interviewers self-confidence and carried out by civil servants of the ministry of explain to the potential respondent that the Economic Affairs during their own free-time and they were paid per interview/visit. In 1973/74 a participating household was visited every two weeks, in 1978/79 every month and in 1987/88 only Related to the length of the data-collection three times a year. Nevertheless these changes of all three FES's.

The Labour Survey (LFS) is held every year. As for Some interviewers may not be very successful in the FES the fieldwork for LFS is carried out by convincing people to participate. If refusal rates civil servants. The LFS is in Belgium a mandatory are high, interviewers especially skilled in survey. This is mentioned in the advance letter, persuading people, can be asked to make a second which is sent to all sampled households. But there attempt in trying to convert these refusers into are no sanctions against households that refuse to participate. This might explain the fact, that the response rate for the Belgian LFS is low for a mandatory survey, as compared to other countries. The way people think about the interview There seems to be no particular trend between 1983 organization might be a very important factor with and 1990. The NIS could not provide data on nonrespect to their decision whether or not to response causes, such as refusals and non-

The Central Statistical Office of Finland has three major social surveys: Labour Force Survey If the participation in surveys is mandatory on (LFS), Income Distribution Survey and Household the basis of legislation this would of course lead Budget Survey. Besides there are a number of surto higher response rates. It is not only important veys (Living Conditions, Time Budget, Cultural

Table 1. Response rates for the Belgian Family Expenditure Survey (%)

	1973/74	1978/79	1987/88
		·	
Willing to			
participate	19	36	17
Participating			
during the			
whole period	12	22	11

Table 2. Response rates for the Belgian Labour Force Survey (%)

1983	82	
1984	83	
1985	83	
1986	81	
1987	78	
1988	82	
1989	83	
1990	83	

Activities), which are not carried out as frequently as the surveys mentioned before.

The LFS started as a mail survey in 1959. The survey was totally revised in 1976, apart from the data-collection technique. In 1983 the survey was 4.3 France revised for a second time. The data-collection technique changed from mail into a telephone The INSEE (Institut National de la Statistique et survey with a very short interview-time (on average 8 minutes). This may have caused the drastic increase of the response rate in that year. From 1983 to 1991 the response rate decreased from about 96% to 91%, mostly as a result of increasing non-contacts. The Income Distribution Survey started in 1977. The respondent burden might be heavier than for the Finnish LFS. The subject of the survey might also be an very enthousiastic to provide income-data.

For the Finnish Household Budget Survey the sample naires. design has varied during the years. It is obvious that the response rate for the Household Budget Survey is lower than for other surveys as a consequence of the very high respondent burden. out any particular trend.

Table 3. Response, Nonresponse data for Household surveys in Finland (%).

Year	Resp.	Nresp.	Ref.	Ncont.	Other
Labour	Force (M	ail, from	1983 Tel.)	
1977	69.1	30.9	-	-	-
1980	75.3	24.7	-	-	-
1982	71.8	28.2	-	-	-
1983	95.6	4.4	1.5	2.9	-
1985	93.9	6.1	1.3	4.4	0.4
1990	92.5	7.5	1.5	5.8	0.2
1991	91.3	8.7	1.7	6.6	0.4

Income	Distribu	tion (from	1984 Face	-to-face))
1980	86.9	13.1	-	-	•
1984	84.8	15.2	11.6	2.8	0.7
1985	83.7	16.3	12.9	2.9	0.5
1990	80.7	19.3	16.0	2.7	0.5
1991	83.4	16.6	12.8	3.5	0.3
Househo	ld Budge	t Survey (Face-to-fa	ce)	
1966	78.6	21.4	9.0	7.8	4.6
1971	62.0	38.0	-	-	-
1976	66.9	33.1	-	-	-
1981	74.7	25.3	22.4	2.8	0.1
1985	69.6	30.4	25.2	3.3	1.9
1990	70.2	29.8	25.8	3.2	0.8

des Etudes Economiques) has a number of ad-hoc surveys and three continuous surveys. The figures presented in table 4 indicate that refusal rates are not increasing during the eighties as written information from the INSEE has confirmed. However it seems to be much harder to contact households in especially the Paris area. The respondent burden seems to be very heavy for all ad-hoc surveys, except the Survey on Housing, because for important factor as Finnish people might not be all those surveys more than one visit was necessary in order to complete more question-

The Survey on Rent and Taxes seems to have a low respondent burden (one visit, interview-time 25 minutes) and this seems to affect the refusal rate. The very high response rate for the French The response rates varied during the years, with- Labour Force Survey might be caused by the fact that this is a mandatory survey.

Table 4. Response, Nonresponse data for Household Surveys in France (%).

Name	Year	Resp.	Ref.	Non-cont	. Item nonres
Ad-hoc Sur	veys				
Health	1980	85	5.5	5	4.5
Transpor-					
tation	1982	78	9	7	6
Contacts	1983	70	6.5	5	18.5
Clothes	1984	84	10	4	2
Housing	1984	88	7	5	-
Budget	1985	69	11.5	6.5	12
Time bud-					
get	1986	77	8	7.5	7.5
Food	1987	75	9	7.5	8.5
Leisure	1988	86	7	6	1
Durable					
goods	1988	82	10	6.5	1.5
Continuous	Surveys				
Conjunct.		87	7	6	-
Rent	1986	90.4	4.8	4.8	-
Rent	1988	87	4	9	-
Rent	1992	91	4.5	4.5	-
Labour	1988	93	3	4	-

4.4 Germany

The Statistisches Bundesamt (Central Statistical Office) in Germany has only two important surveys: the Micro-Census (MC) and a Family Expenditure Survey (FES). The MC is carried out every year. It is a mandatory survey and through the years the response is about 95%. In the years 1985 to 1987 be also a decreasing non-contact rate. the Statistisches Bundesamt carried out an experimental survey to find out to what extent response 4.6 Ireland rates would be affected if the survey-participation would be changed from mandatory into volun- The Central Statistics Office in Ireland has two Germany.

asked to take part in the FES. Only 10% (estimated figure) of the MC-particpants are willing to take part in the FES.

4.5 Great Britain

- The Social Survey Division of the Office of Population Censuses and Surveys (OPCS) in Great Britain carries out a great number of social surveys. The OPCS has its own interviewer-staff. In this paper figures are presented for three of the most important and continuous surveys. (Table 5). The Labour Force Survey (LFS) has a sample of 54.000 addresses. Proxies are allowed and used for about 40% of cases. Since 1986 advance letters to respondents have been used. Also a form of re-issuance is used for this survey.

The General Household Survey (GHS) has a sample of 12,000 addresses, proxies are allowed, but used in only about 5% of the cases. Advance letters are also used. For this survey there is also reissuance.

For the Family Expenditure Survey (FES) a sample of 10,000 adresses is used. Proxies are not allowed and there is no re-issuance.

The Social Survey Division of the OPCS pays much attention to quality control and motivation of the interviewers. Selection procedures of new interviewers and training programs have been improved. Interviewers are graded according to their performance, which determines the rate of pay and the allocation of work. This and other measures (advance letters, re-issuance) might have affected response results in a positive way as can be seen in table 5.

The response rates for the GHS and LFS slightly improved between 1984 and 1991. For all three survevs there seems to be a slight decline of refusal rates and for the GHS and the LFS there seems to

tary (Esser et al., 1989). The results were very social surveys: the Labour Force Survey, which is disappointing. The response would fall down to carried out every year and the Household Budget about 60% to 70%, which was judged to be Survey, which is held every seven years. In Table insufficient for the purposes of the MC in 6 data are presented on response and nonresponse for the LFS. There is no breakdown available of Every five year a FES is carried out. There are no nonresponse in "refusals" and "non-contacts". From consistent nonresponse figures, because the field- the 1992 LFS onward this information will be work is carried out by regional offices which have available. The response for the LFS in Ireland is their own procedures and definitions. To establish very high and there seems even to be a positive a good sample a kind of quota sampling is used. By trend. The LFS in Ireland is a face-to-face survey advertisements in newspapers people are asked to with an interview-time of 10 to 15 minutes per participate. Participants from the MC are also household. Data is gathered on all household mem-

Table 5. Response, Nonresponse data for Household surveys in Gr. Britain (%).

Table 6 Response, Nonresponse data for the Labour Force Surveys in Ireland (%).

Year	Resp.	Nresp.	Ref.	Ncont.	Other
Family	Expenditu	ıre Survey	(Face-t	o-face)	
1984	68	32	30	2	-
1985	68	32	30	2	-
1986	69	31	29	2	-
1987	72	28	26	2	-
1988	72	28	27	1	-
1989	73	27	26	1	-
1990	69	31	29	2	-
1991	69	31	29	2	-

Year	Response	Nonresponse
1983	93.9	6.1
1984	93.9	6.1
1985	96.0	4.0
1986	93.1	6.9
1987	96.2	3.8
1988	95.7	4.3
1989	95.8	4.2
1990	96.4	3.6

General	Household	Survey	(Face-to-Face)
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1984	81	19	15	4	-
1985	82	18	15	3	-
1986	84	16	14	2	-
1987	85	15	12	3	-
1988	85	15	12	3	-
1989	84	16	13	3	-
1990	82	18	14	3	-
1991	84	16	13	3	-

			•		
Labour	Force Sui	rvey			
1984	81	19	11	8	-
1985	82	18	12	6	-
1986	82	18	11	7	-
1987	81	19	9	9	-
1988	83	17	10	7	-
1989	84	16	10	6	-
1990	83	17	11	6	-
1991	84	16	10	6	-

bers, but proxies are allowed. According to verbal information of the Irish Central Statistics Office the interviewer staff is very experienced and the Irish people has a very positive attitude towards survey participation. There are no questions on income data in the LFS and the subject "employment" might be of special interest to the people. This all might explain the very high response rates as compared with response rates in other countries.

Another possible explanation is the "survey tradition" in Ireland. There are not many surveys in Ireland and consequently the Irish people might not have been exposed to a demand for survey participation in the same degree as in other countries.

4.7 The Netherlands

The Netherlands Central Bureau of Statistics has about 15 social surveys, 10 of which are continuous and five are carried out with an interval of a few years. For five of these surveys data on response is presented in table 7. In the Netherlands there seems to be quite a difference between the results of face-to-face and telephone surveys. The response for telephone surveys is much better than for face-to-face surveys. Apparently Dutch people prefer to participate in short interviews by phone so that it can be finished off very quickly.

The figures for the telephone surveys indicate that there seems to be no negative trend with respect to refusals. When the Consumer Sentiments Survey changed from a face-to-face survey into a telephone survey the response rates stayed at a steady and relatively high level and the refusal rates tended to decrease. It seems that the change of data-collection technique also affected the response rates for the National Travel Survey as these rates have been about 10% higher since 1985. The increase in the category "Other n.r." was due to a replacement of the old Cotel-system by a Blaise-Cati-system. With the latter system a more accurate registration of households that had to be contacted more than one time became possible.

The nonresponse and refusal rates are much higher for the face-to-face surveys than for the telephone surveys. The very low response for the Survey on Living Conditions (SLC) might be explained by the subject of the survey, which seems to be too general and unclear. Another important factor that affects the response in an negative way might be the selection-rule for this survey; proxies are not allowed. In 1989 the SLC changed into a CAPI-survey. Also the design of the survey changed in some aspects. Children between

Table 7 Response, Nonresponse data for Household surveys in The Netherlands (%).

	Resp.	Nresp.	Ref	Ncont	Other
Living	Condition	ns (fr. 19	89 CAPI)	
1974	72	28	17	4	7
1977	70	30	17	6	7
1983	59	41	32	7	2
1986	59	41	29	8	4
1989	48	52	36	10	5
1990	50	50	35	10	4
1991	50	50	35	10	5
Health	Survey (from 1990	CAPI)		
1982	67	33	24	7	2
1985	64	36	26	9	2
1988	58	42	29	10	3
1989	58	42	28	10	4
1990	56	44	31	9	4
1991	57	43	30	10	4
					
Labour 1988	· Force Su 61	rvey (CAPI 39) 27	8	4
1989	61	39	26	9	4
1990	60	40	27	8	5
1991	60	40	27	. 9	4
<u></u>			··· ··· -		
		ents (fr.	1986 CA	(II)	
1972	71 ~~	29	-	-	-
1975	78	22	-	•	-
1980	61	39	-	-	-
					_
1982	56	44	32	9	3
1984	56 67	33	25	8	1
1984 1985	56 67 72	33 28	25 25	8 2	1 1
1984 1985 1986	56 67	33	25 25 21	8	1
1984 1985	56 67 72	33 28	25 25	8 2	1 1
1984 1985 1986	56 67 72 71	33 28 29	25 25 21	8 2 7	1 1 1
1984 1985 1986 1987	56 67 72 71 71	33 28 29 29	25 25 21 23	8 2 7 6	1 1 1
1984 1985 1986 1987 1988	56 67 72 71 71 68	33 28 29 29 32	25 25 21 23 25	8 2 7 6 6	1 1 1 1 2
1984 1985 1986 1987 1988 1989	56 67 72 71 71 68 68	33 28 29 29 32 32	25 25 21 23 25 24	8 2 7 6 6 7	1 1 1 1 2 2
1984 1985 1986 1987 1988 1989 1990	56 67 72 71 71 68 68 68 68	33 28 29 29 32 32 32	25 25 21 23 25 24 18 19	8 2 7 6 6 7 11 8	1 1 1 1 2 2 4
1984 1985 1986 1987 1988 1989 1990	56 67 72 71 71 68 68 68 68	33 28 29 29 32 32 32 31	25 25 21 23 25 24 18 19	8 2 7 6 6 7 11 8	1 1 1 1 2 2
1984 1985 1986 1987 1988 1989 1990 1991	56 67 72 71 71 68 68 68 68 69	33 28 29 29 32 32 32 31 Survey (f	25 25 21 23 25 24 18 19	8 2 7 6 6 7 11 8	1 1 1 1 2 2
1984 1985 1986 1987 1988 1989 1990 1991 	56 67 72 71 71 68 68 68 69	33 28 29 29 32 32 32 31 Survey (f	25 25 21 23 25 24 18 19	8 2 7 6 6 7 11 8	1 1 1 1 2 2
1984 1985 1986 1987 1988 1989 1990 1991 	56 67 72 71 71 68 68 68 69 al Travel 67	33 28 29 29 32 32 32 31 Survey (f 33 32	25 25 21 23 25 24 18 19	8 2 7 6 6 7 11 8	1 1 1 1 2 2 4
1984 1985 1986 1987 1988 1989 1990 1991 	56 67 72 71 71 68 68 68 69 al Travel 67 68 66	33 28 29 29 32 32 32 31 Survey (f 33 32 34	25 25 21 23 25 24 18 19 rom 1985	8 2 7 6 6 7 11 8 CATI)	1 1 1 1 2 2 4
1984 1985 1986 1987 1988 1989 1990 1991 	56 67 72 71 71 68 68 68 69 al Travel 67 68 66 76	33 28 29 29 32 32 31 Survey (f 33 32 34 24	25 25 21 23 25 24 18 19 rom 1985	8 2 7 6 6 6 7 11 8 CATI)	1 1 1 2 2 4 4 4
1984 1985 1986 1987 1988 1989 1990 1991 Nation 1978 1980 1983 1986	56 67 72 71 71 68 68 68 69 al Travel 67 68 66 76	33 28 29 29 32 32 31 Survey (f 33 32 34 24 25	25 25 21 23 25 24 18 19 rom 1985 - - - 14	8 2 7 6 6 7 11 8 5 CATI) - - - 10 9	1 1 1 2 2 4 4 4
1984 1985 1986 1987 1988 1989 1990 1991 	56 67 72 71 71 68 68 68 69 al Travel 67 68 66 76 75	33 28 29 29 32 32 31 Survey (f 33 32 34 24 25 25	25 25 21 23 25 24 18 19 	8 2 7 6 6 7 11 8 5 CATI) - - 10 9	1 1 1 2 2 4 4 4 1 1

13 and 15 were included in the sample and have to be asked for survey participation.

The Health Survey changed into a CAPI-survey in 1990. In that year the broader introduction of the laptop computer as a instrument for data-gathering might have put some pressure on the interviewer-staff and might have influenced the performance negatively.

The respondent burden for the Health Survey and the Labour Force Survey is heavier than for the SLC, as for all householdmembers data have to be gathered. Although proxies are allowed for these surveys also the subject of the surveys might affect response results in a positive way.

The initial response for the FES in The Netherlands is also very low (20% to 25%), but somewhat higher than in Belgium. The respondent burden for the Dutch FES is also very high, but not as high as for the Belgian FES.

4.8 Spain

The National Institute for Statistics in Spain has one continuous survey (Labour Force) and three ad hoc surveys. For the Labour Force Survey the National Institute has its own interviewer-staff. For the other surveys interviewers from other organizations are hired.

The figures in table 8 show very low refusal rates. It should however be taken into account, that the presented refusal rates are the results of substitution, i.e. sampled households which refuse to participate are replaced by other households. Verbal information from the Spanish National Institute for Statistics makes clear that there is a negative development in Spain with respect to survey participation. This can be illustrated with the response figures for the Household Budget Survey, which have decreased drastically. It is not known to what extent the design of this survey has changed over time.

4.9 Sweden

The response results for statistics Sweden are regularly published in the form of "Nonresponse barometers" (Bergdahl et al., 1991). Extra information on the surveys and extensive explanation of the trends are found in Lindström and Dean (1986) and Lyberg and Lyberg (1990). They also discuss the various efforts undertaken for reducing nonresponse. The following paragraphs are based on their findings.

All four Swedish surveys presented in table 9 show an increase in non-contacts. It is a general finding in Sweden that it is steadily more difficult

Table 8	Respons Househo	•	esponse eys in	data Spain	(%)	may be the resp	caused boondent l	by the suburden, a	bject s the	one) surve of the su average in	rvey ntervi
Year	Resp.	Nresp.	Ref	Ncont	Other	for the	Income	Distribu	tion Su	igher refus urvey, if	compa
abour F	orce Sur	vey				by the s	subject:	more peo	ole are	s, might be reluctand	
1976	86.5	13.5	1.2	12.3	_	Vide Sti	ict pers	onal data	on m	one.	
1980	91.2	8.8	1.7	7.1	-	5. Conc	lusions				
985	90.9	9.1	1.4	7.7	-	J. 04.					
990	90.0	9.9	2.2	7.7	•	Trends					
ousehol	d Budget	Survey				The figu	ıres pres	ented in	this p	aper indic	ate t
										end with re	
973	83.5	16.5	8.0	8.5	-	survey p	articipa	tion in E	urope a	as a consec	uence
980	75.4	24.6	14.6	10.0	-	changing	attitud	es.			
990	63.2	36.8	21.1	15.7	-					in this p	
ousing	Survey		· · · · · · · · · · · · · · · · · · ·		•			e, Nonres		of the si	tuati
986	79.5	20.5	6.6	13.9	-	rabte 7.	•	•	•	eden (%).	
ocio-de	mographi	c Survey	· · · · · · ·	· · · · · · · · · · · · · · · · · · ·		Year	Resp.	Nresp.	Ref	Ncont	Oth
991	80.2	19.8	10.9	8.9	-	Living C	ondition	s (Face-t	o-face))	
	<u>.</u>					1980	86	14	12	2	_
							00	177	12	_	
find	neonle	at home.	Telephon	e survey	also		86	14	11	2	1
		at home. m of unli	-			1981	86 87	14 13	11 10	2 2	
ave the	proble	at home. m of unli n Living (isted te	lephone r	numbers.		86 87 84	14 13 16	11 10 13	2 2 2	1
ave the	proble Survey o	m of unli	isted te Condition	lephone r	numbers. Labour	1981 1982	87	13	10	2	1 1 1
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Year	Resp.	Nresp.	Ref	Ncont	Other	
Labour	Force Su	rvey (Telep	ohone)			
1980	93	7	4	3	-	
1981	94	6	3	3	-	
1982	94	6	3	3	-	
1983	94	6	3	3	-	
1984	94	6	3	3	-	
1985	93	7	3	4	-	
1986	90	10	5	5	-	
1987	90	10	5	5	-	
1988	89	11	5	6	-	
1989	89	11	5	6	-	
1990	88	12	5	6	-	
1991	86	14	6	8	-	

Income	Distribut	ion (Mail	l, fr. 19	84 Tel.)	
1980	87	13	10	3	-
1981	86	14	10	4	-
1982	87	13	10	3	-
1983	82	18	14	4	-
1984	85	15	10	5	-
1985	88	12	7	5	-
1986	86	14	8	6	-
1987	86	14	8	6	-
1988	83	17	10	7	-
1989	86	14	8	5	-
1990	81	19	11	8	-
1991	83	17	10	6	1

The period that is taken into account might be too short to draw reliable conclusions about attitudes of people. On the other hand response figures of continuous surveys, that have been carried out year after year in the same way, seem to be very good indicators for attitudes towards survey participation.

It seems, that people are not less willing to participate as they were for years ago, but that circumstances have changed. It seems to be more difficult to contact people and to find a convenient time to carry out the interview. In some countries (France, Great Britain, maybe Ireland, The Netherlands) refusal rates are not Need for systematic data increasing. In Sweden and Finland non-contacts Data on response/nonresponse, characteristics of refusals, but this is based on verbal information. Nonresponse rates are not increasing for all sur-

interview-organizations have not adapted themselves enough towards changing circumstances.

Factors affecting response

The figures presented in this paper indicate, that the factors as described in section 3 (survey design, respondent burden, mandatory character, data-collection-technique, subject) have affected response results, although there are no data on the specific contribution of these factors.

From the British experiences, it can be learned that factors related to the interview-organization can also play an important role. Improvement of selection and training of interviewers, of quality controls and evaluations and a well-designed payment system can motivate and stimulate interviewers to obtain better response results.

Differences between countries

There are substantial differences of response rates between countries. It is however not clear to what extent these differences can be explained by the "survey climate".

It seems, that response rates in Belgium, Germany and The Netherland are lower than in other countries. The response for the LFS in Belgium seems to be very low for a mandatory survey. Experiments in Germany showed that for voluntary surveys response would drop down to about 65%. The response rate for the LFS in The Netherlands is 60%. The response for the FES in these countries is also much lower than in other countries. In Belgium and The Netherlands this might be caused by the heavy respondent burden. However comparable response differences between types of surveys seem to exist in all countries. For example response rates for expenditure surveys are much lower than response rates for other surveys (see Table 10.). In Finland, The Netherlands and Sweden telephone surveys seem to produce higher response rates than other kinds of surveys. These two findings (a lower response level in certain countries and comparable response differences between types of surveys in all countries) might indicate, that there are also cultural differences between countries with respect to survey participation.

seem to increase more than refusals. Only in Spain surveys and interview-organization in different there seems to be a negative trend with respect to countries are not yet available in such a way, that they can be compared very well (Belgium, France, Ireland, Spain). A better registraveys and not in all countries. The crude findings tion and agreement on definitions is necessary. seem to support Lievesley's (1989) hypothesis: not For the comparison of response results it is the attitudes of people have changed so much, but necessary to have well-defined figures on nonres-

Table 10 Response rates (most recent) for surveys in different countries (%).

Country	LFS	SLC	Inc.	Cons.	GHS	FES	NTS
Belgium	83	-	-	-	-	17	-
Finland	91	-	83	-	-	70	-
France	93	-	-	-	-	69	78
Germany	95	-	-	-	-	10	-
Gr. Britain	84	-	-	-	84	69	-
Netherlands	60	50	-	69	-	25	76
Spain	90	-	-	-	-	63	-
Sweden	86	79	83	80	-	63	-

respond. In order to be able to interpret differences in response rates it is necessary to have the disposal of various aspects of the survey that might have influenced the response rate, like the sample design, the fieldwork design and organizational and situational aspects.

For this purpose a group of statisticians from different statistical agencies designed a International Household Nonresponse Survey to gather data on nonresponse rates for a number of surveys in different countries in a systematic way. Analysing these data might improve insight into the factors Lyberg, I and L.Lyberg, 1990, Nonresponse research (related to the survey design and the interview organization and interviewers) that can cause nonresponse and into the extent these factors affect nonresponse.

(*) The views expressed in this paper are those of the authors and do not necessarily reflect the policies of The Netherlands Central Bureau of Statistics.

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