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## INTRODUCTION

The Biometry Facility of the University of Vermont has been the only active survey research unit within the state of Vermont over the last fifteen years. Vermont is a small rural state of 9609 square miles in the northeast portion of the U.S. which appears to have a very stable population (Table 1). The state has a population of 511,456 residents distributed over 14 counties representing differing degrees of rurality (Table 2). Indeed, many areas of state are very inaccessible especially during the winter season. Previous survey efforts utilized area probability sampling procedures $(1,2)$. However, due to increases in overall survey costs due to increases in travel expenses, the feasibility of phone surveys as an alternative method was examined.

Previous work has indicated that a two-stage cluster sampling procedure could potentially be of great utility in increasing yields over conventional random digit dialing methods (3-5). This study was undertaken to examine the potential increased yields that could be obtained by this method in the rural state of Vermont.

## METHODS

Primary sampling units (PSU) representing clusters of size 25 were generated using the technique of Waksberg in five geographic subregions of Vermont. These PSU's were classified as a good PSU if the first telephone number in the sequence was a functional Vermont residential number. It was considered a bad PSU otherwise. The proportion of good PSU's to the number of PSU's examined represents the primary-stage yield. Given that a PSU was considered as good, the remaining telephone numbers which comprised the PSU were examined. The total number of functional Vermont residential numbers to the total number examined gives rise to a total effort yield.

Conditional yields were also calculated. Given that a PSU was considered good, the yield of usable numbers was calculated excluding the initial number. A similar conditional yield was calculated for PSU's that were considered bad.

## RESULTS

Table 3 presents the primary stage yields for each of the five geographic subunits of Vermont, The values range from a low of $6.7 \%$ for Orleans County to a high of $17.7 \%$ for Caledonia County. The total effort yields are also presented in Table 3. Table 4 presents the conditional yields from both good and bad PSU's. The good PSU's have a higher secondary yield compared to the bad PSU's as expected. Table 5 presents the disposition of those numbers resulting from
the good PSU's only.

## DISCUSSION

The PSU yields for each of the five regions appears to be rather low with some indication that it might be related to the degree of rurality of a particular region. Total yields do not appear to be as strongly related to rurality. However, the absolute yields do appear to represent a good increase over the PSU yields. The degree to which the two-stage procedure reflected an increase in efficiency over simple random digit dialing methods is given in Figure 1. It appears tempting to suggest that increased rurality is directly related to increased efficiency.

## REFERENCES

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TABLE 1 - Distribution of Population by Size of Place for Vermont's 255 Minor Civil Divisions, 1940 to 1980

| Distribution of the Population in Places |  |  |  |  |  |  |  |  |  |  | Total Pop. |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Under 1,000 |  | 1,000-1,999 |  | 2,000-4,999 |  | 5,000-9,999 |  | Over 10,000 |  |  |
| Census Year | $\begin{aligned} & \% \\ & \text { \%otal } \\ & \text { Pop. } \end{aligned}$ | Places | $\begin{aligned} & \% \\ & \text { \% } \\ & \text { Total } \\ & \text { Pop. } \end{aligned}$ | Places | $\begin{aligned} & \% \\ & \text { Tota1 } \\ & \text { Pop. } \end{aligned}$ | Places | $\begin{aligned} & \% \\ & \text { Total } \\ & \text { Pop, } \end{aligned}$ | Places | $\begin{aligned} & \% \\ & \text { Total } \\ & \text { Pop. } \end{aligned}$ | Places |  |
| 1940 | 20.7 | 159 | 26.3 | 65 | 18.9 | 20 | 12.4 | 6 | 21.7 | 5 | 359,231 |
| 1950 | 19.6 | 161 | 25.1 | 63 | 17.0 | 18 | 15.6 | 8 | 22.7 | 5 | 377,747 |
| 1960 | 18.3 | 163 | 25.1 | 62 | 13.2 | 14 | 20.6 | 11 | 22.8 | 5 | 389,881 |
| 1970 | 16.0 | 154 | 23.7 | 63 | 17.1 | 21 | 14.9 | 9 | 28.3 | 8 | 444,732 |
| 1980 | 13.8 | 134 | 22.1 | 69 | 20.7 | 31 | 17.6 | 13 | 25.8 | 8 | 511,456 |

TABLE 2 - Rural and Urban Population in Vermont, 1980

| County | Rural Population <br> (in places under 2,500) |  |  | Urban Population (in places 2,500 and over) |  |  | Total Pop. |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Total | \% <br> Rural | \# of Comm. | Total | Urban | \# of <br> Comm. | Total | Total <br> Comm. |
| Addison | 18,539 | 63.0 | 21 | 10,867 | 37.0 | 2 | 29,406 | 23 |
| Bennington | 7,999 | 24.0 | 13 | 25,346 | 76.0 | 4 | 33,345 | 17 |
| Caledonia | 10,333 | 40.0 | 14 | 15,475 | 60,0 | 3 | 25,808 | 17 |
| Chittenden | 6,147 | 5.3 | 6 | 109,387 | 94.7 | 12 | 115,534 | 18 |
| Essex | 6,313 | 100.0 | 19 | 0 | 0.0 | 0 | 6,313 | 19 |
| Franklin | 15,966 | 45.9 | 11 | 13,822 | 54.1 | 4 | 34,788 | 15 |
| Grand Isle | 4,613 | 100.0 | 5 | 0 | 0.0 | 0 | 4,613 | 5 |
| Lamoille | 6,747 | 40.2 | 3 | 10.020 | 59.8 | 7 | 16,767 | 10 |
| Orange | 18,050 | 79.4 | 16 | 4,689 | 20.6 | 1 | 22,739 | 17 |
| Orleans | 11,472 | 48.9 | 16 | 11,968 | 51.1 | 3 | 23.440 | 19 |
| Rutland | 20,175 | 34.6 | 21 | 38.172 | 65,4 | 7 | 58,347 | 28 |
| Washington | 17,338 | 33.1 | 15 | 35,055 | 66.9 | 5 | 52,393 | 20 |
| Windham | 19,509 | 52.8 | 21 | 17,424 | 47.2 | 2 | 36,933 | 23 |
| Windsor | 20,254 | 39.7 | 18 | 30,776 | 60.3 | 6 | 51,030 | 24 |
| State | 183,455 | 35.9 | 199 | 328,001 | 64.1 | 56 | 517,456 | 255 |


| Geographic <br> Area | Primary Stage |  |  | Total Effort |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
|  | \#PSU Tried | \#Yield (\%) |  | \#Tried | \#Yield (\%) |
| Caledonia | 198 | $35(17.7 \%)$ | 847 | $385(45.5 \%)$ |  |
| Essex | 296 | $21(7.1 \%)$ | 644 | $244(37.9 \%)$ |  |
| Franklin | 145 | $16(11.0 \%)$ | 433 | $146(33.7 \%)$ |  |
| Orleans | 120 | $8(6.7 \%)$ | 295 | $86(29.2 \%)$ |  |
| Others | 200 | $25(12.5 \%)$ | 526 | $220(41.8 \%)$ |  |

TABLE 4

| Geographic <br> Area | Conditional <br> Given Good PSU |  | Conditional <br> Given Bad PSU |  |  |
| :--- | :---: | ---: | :--- | ---: | :--- |
|  | \#Tried | \#Yield(\%) |  | \#Tried | \#Yield(\%) |
| Caledonia | 649 | $350(53.9 \%)$ | 99 | $30(30.3 \%)$ |  |
| Essex | 348 | $223(64.1 \%)$ | 81 | $19(23.5 \%)$ |  |
| Franklin | 288 | $130(45.1 \%)$ | 242 | $87(36.0 \%)$ |  |
| Orleans | 175 | $78(44.6 \%)$ | 548 | $227(41.4 \%)$ |  |
| Others | 326 | $195(59.8 \%)$ | 78 | $42(53.8 \%)$ |  |

TABLE 5 - Disposition of Sample Numbers by County and Sample Stage

|  | Caledonia |  |  | Essex |
| :--- | :---: | :---: | :---: | :---: |
|  | 1st Stage | 2nd Stage | 1st Stage | 2nd Stage |
| 1. Working Number | $42(21.2 \%)$ | $388(59.8 \%)$ | $46(15.5 \%)$ | $223(64.1 \%)$ |
| A. Working Vt Number | $35(17.7 \%)$ | $350(53.9 \%)$ | $21(7.1 \%)$ | $223(64.1 \%)$ |
| B. Eligible Household | $13(6.6 \%)$ | $175(27.0 \%)$ | $14(4.7 \%)$ | $115(33.0 \%)$ |
| C. Completed Interview | $12(6.1 \%)$ | $144(22.2 \%)$ | $12(4.1 \%)$ | $101(29.0 \%)$ |
| 2. Nonworking Number | $156(78.8 \%)$ | $261(40.2 \%)$ | $250(84.5 \%)$ | $125(35.9 \%)$ |
| A. Not In Serivce | $99(50.0 \%)$ | $120(18.5 \%)$ | $170(57.4 \%)$ | $48(13.8 \%)$ |
| B. Fast Busy | $47(23.7 \%)$ | $11(1.7 \%)$ | $23(7.8 \%)$ | $1(0.3 \%)$ |
| C. Busy | $2(1.0 \%)$ | $14(2.2 \%)$ | $3(1.0 \%)$ | $12(3.4 \%)$ |
| D. No Answer | $8(4.0 \%)$ | $116(17.9 \%)$ | $54(18.2 \%)$ | $64(18.4 \%)$ |
| TOTALS | 198 | 649 | 296 | 348 |

TABLE 5 continued

| Frankl in |  | Orleans |  | Others |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1st Stage | 2nd Stage | 1st Stage | 2nd Stage | 7st Stage | 2nd Stage |
| 18(12.4\%) | 151 (52.4\%) | 13(10.8\%) | 86(49.1\%) | $33(16.5 \%)$ | 219(67.2\%) |
| 16(11.0\%) | 130(45.1\%) | 8( 6.7\%) | $78(44.6 \%)$ | 25(12.5\%) | 195(59.8\%) |
| 7 ( $4.8 \%)$ | 66(22.9\%) | 5( $4.2 \%$ ) | 32(18.3\%) | 10( $5.0 \%$ ) | 135(41.4\%) |
| 6( 4.1\%) | 59(20.5\%) | 5( 4.2\%) | 26(14.9\%) | 10( $5.0 \%$ ) | 119(36.5\%) |
| 127(87.6\%) | 138(47.9\%) | 107(89.2\%) | 89(50.9\%) | 167(83.5\%) | 107(32.8\%) |
| 79(54.5\%) | 58(20.1\%) | 69(57.5\%) | $51(29.1 \%)$ | 103(51.5\%) | 46(14.1\%) |
| 2( 1.4\%) | O( $0.0 \%$ ) | 0( 0.0\%) | 0( 0.0\%) | 11( $5.5 \%$ ) | 0 ( 0.0\%) |
| 2( $1.4 \%$ ) | 6( 2.1\%) | 1( 0.8\%) | 2( 1.1\%) | 31(15.5\%) | 1( 0.3\%) |
| 44(30.3\%) | $74(25.7 \%)$ | $37(30.8 \%)$ | 36(20.6\%) | 21(10.5\%) | 60(18.4\%) |
| 145 | 288 | 120 | 175 | 200 | 326 |

FIGURE 1


